

## Toughness and $[a, b]$ -factor with inclusion/exclusion properties\*

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**Abstract** In this paper, we investigate the existence of  $[a, b]$ -factors with inclusion/exclusion properties under the toughness condition. We prove that if an incomplete graph  $G$  satisfies  $t(G) \geq (a-1) + \frac{a}{b}$  and  $a, b$  are two integers with  $b > a > 1$ , then for any two given edges  $e_1$  and  $e_2$  there exist an  $[a, b]$ -factor including  $e_1, e_2$ ; and an  $[a, b]$ -factor including  $e_1$  and excluding  $e_2$ ; as well as an  $[a, b]$ -factor excluding  $e_1, e_2$  unless  $e_1$  and  $e_2$  have a common end in the case of  $a = 2$ . For complete graphs, we obtain a similar result.

**Keywords**  $[a, b]$ -factor, inclusion/exclusion property, toughness.

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### 1 Introduction

All graphs considered are simple and finite. We refer the reader to [1] for terminology and notation not defined here.

Let  $G$  be a graph. The degree of a vertex  $v$  in  $G$  is denoted by  $\deg_G(v)$ . For any disjoint subsets  $X, Y \subseteq V(G)$ ,  $E_G(X, Y)$  denotes the set of edges with one end in  $X$  and the other in  $Y$  and  $e_G(X, Y) = |E_G(X, Y)|$ . We use  $E_G(X)$  to denote the set of edges with both ends in  $X$ .

For  $X \subseteq V(G)$ , the *neighbor set* of  $X$  in  $G$ , denoted by  $N_G(X)$ , is defined to be the set of all vertices adjacent to vertices in  $X$ . We use  $G[X]$  to denote the subgraph induced by  $X$ .

For an integer-valued function  $f$  defined on a finite set  $X$ , we denote

$$f(X) = \sum_{x \in X} f(x), \quad f(\emptyset) = 0.$$

Given a function  $f : V(G) \rightarrow \mathbb{Z}^+$ , we say that  $G$  has an  $f$ -factor if there exists a spanning subgraph  $F$  of  $G$  such that  $\deg_F(v) = f(v)$  for every  $v \in V(G)$ . When  $f(v) = k$  for all  $v \in V(G)$ ,  $F$  is called a  $k$ -factor.

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Let  $g, f$  be integer-valued functions defined on  $V(G)$ . Then  $G$  has a  $(g, f)$ -factor if there exists a spanning subgraph  $F$  of  $G$  such that  $g(v) \leq \deg_F(v) \leq f(v)$  for every vertex  $v \in V(G)$ . In particular, if  $g(v) = a, f(v) = b$  for all  $v \in V(G)$ ,  $F$  is called an  $[a, b]$ -factor.

If  $G$  is not complete, the *toughness* of  $G$ ,  $t(G)$ , is defined by

$$t(G) = \min_S \left\{ \frac{|S|}{\omega(G-S)} \right\},$$

where the minimum is taken over all vertex cuts  $S$  of  $G$ , and  $\omega(G)$  denotes the number of components in  $G$ . For complete graph  $K_n$ , we define  $t(K_n) = n - 1$ . A graph  $G$  is  $k$ -tough if  $t(G) \geq k$ .

Chvátal introduced the concept of toughness in [4], and mainly studied the relationship between toughness and the existence of Hamilton cycles and  $k$ -factors. He conjectured that every  $k$ -tough graph  $G$  ( $k \in \mathbb{Z}^+$ ) has a  $k$ -factor if  $k|V(G)|$  is even. Enomoto, Jackson, Katerinis and Saito [5] confirmed Chvátal's conjecture and showed that the result is sharp. Chen [2], Katerinis and Wang [7], Wang, Wu and Yu [11] studied the relationships between  $k$ -toughness of graphs and the existences of  $f$ -factors with various inclusion/exclusion properties.

As a generalization of Chvátal's conjecture, Katerinis [6] studied the relationship between toughness and the existence of  $f$ -factors, as well as  $[a, b]$ -factors. Katerinis proved the following theorem.

**Theorem 1.1** (Katerinis [6]). *Let  $G$  be a graph and  $a, b$  be two positive integers with  $b \geq a$ . If  $t(G) \geq (a - 1) + \frac{a}{b}$  and  $a|V(G)| \equiv 0 \pmod{2}$  when  $a = b$ , then  $G$  has an  $[a, b]$ -factor.*

Later, Chen and Liu obtained a stronger result.

**Theorem 1.2** (Chen and Liu [3]). *Let  $G$  be a graph and  $a, b$  be integers with  $b \geq a \geq 2$ . If  $t(G) \geq a - 1 + \frac{a}{b}$  and  $a|V(G)|$  is even when  $a = b$ , then for every edge  $e$  of  $G$ , there exists an  $[a, b]$ -factor containing  $e$ , and there exists another  $[a, b]$ -factor excluding  $e$ .*

In this paper, we consider the existence of  $[a, b]$ -factors with inclusion and/or exclusion of two edges in terms of toughness.

## 2 Preliminary Results

In order to prove the main theorems, we use the characterization of  $(g, f)$ -factors due to Lovász [9].

**Theorem 2.1** ( $(g, f)$ -Factor Theorem). *Let  $G$  be a graph and  $f, g$  be integer-valued functions defined on  $V(G)$  such that  $g(x) \leq f(x)$  for all  $x \in V(G)$ . Then  $G$  has a  $(g, f)$ -factor if and only if for all disjoint sets  $S, T \subseteq V(G)$*

$$q_G(S, T) + \sum_{x \in T} (g(x) - \deg_{G-S}(x)) \leq f(S),$$

where  $q_G(S, T)$  denotes the number of components  $C$  of  $G - (S \cup T)$  such that  $g(x) = f(x)$  for all  $x \in V(C)$  and  $e_G(T, V(C)) + \sum_{x \in V(C)} f(x) \equiv 1 \pmod{2}$ . (Hereafter, such a component  $C$  is called *odd component*.)

The lemma below can be deduced from Theorem 2.1.

**Lemma 2.2** (Lam et al. [8]). *Let  $G$  be a graph, and  $g$  and  $f$  be two integer-valued functions defined on  $V(G)$  such that  $0 \leq g(x) < f(x) \leq \deg_G(x)$  for all  $x \in V(G)$ . Let  $E_1$  and  $E_2$  be two disjoint subsets of  $E(G)$ . Then  $G$  has a  $(g, f)$ -factor  $F$  such that  $E_1 \subseteq E(F)$  and  $E_2 \cap E(F) = \emptyset$  if and only if for any disjoint subsets  $S$  and  $T$  of  $V(G)$ ,*

$$\sum_{x \in T} (g(x) - \deg_{G-S}(x)) \leq f(S) - \alpha(S, T; E_1, E_2) - \beta(S, T; E_1, E_2),$$

where  $U = V(G) - (S \cup T)$ ,  $\alpha(S, T; E_1, E_2) = 2|E_1 \cap E_G(S)| + |E_1 \cap E_G(S, U)|$  and  $\beta(S, T; E_1, E_2) = 2|E_2 \cap E_G(T)| + |E_2 \cap E_G(T, U)|$ .

In addition, we also need the following lemmas.

**Lemma 2.3.** *Let  $G$  be a graph and  $a, b$  be two positive integers with  $b > a$ . Suppose that there exists a pair of disjoint subsets  $S$  and  $T$  of  $V(G)$  such that*

$$\sum_{x \in T} (a - \deg_{G-S}(x)) \geq b|S| - 3. \tag{1}$$

(a) *Given  $S$ , if  $T$  is a minimal set with respect to (1), then  $\deg_{G-S}(v) < a$  for all  $v \in T$ ;*

(b) *given  $T$ , if  $S$  is a minimal set with respect to (1), then  $\deg_T(v) > b$  for all  $v \in S$ .*

*Proof.* As  $T$  is minimal with respect to (1), for any vertex  $v \in T$ ,

$$\sum_{x \in T-v} (a - \deg_{G-S}(x)) < b|S| - 3. \tag{2}$$

Combining (1) and (2), we have  $a - \deg_{G-S}(v) > 0$ , i.e.,  $\deg_{G-S}(v) < a$ .

Similarly, as  $S$  is minimal with respect to (1), for any vertex  $v \in S$ ,

$$\sum_{x \in T} (a - \deg_{G-(S-v)}(x)) < b|S - v| - 3. \tag{3}$$

Combining (1) with (3), we have

$$e_G(S, T) - e_G(S - v, T) > b.$$

Thus  $\deg_T(v) = e_G(S, T) - e_G(S - v, T) > b$ . □

A subset  $I$  of  $V(G)$  is an *independent set* of  $G$  if no two vertices of  $I$  are adjacent in  $G$  and a subset  $C$  of  $V(G)$  is a *covering set* if every edge of  $G$  has at least one end in  $C$ .

**Lemma 2.4** (Katerinis [6]). *Let  $G$  be a graph and  $T_1, \dots, T_{a-1}$  ( $T_j$  allows to be empty) be a partition of  $V(G)$  such that  $\deg_G(x) \leq j$  if  $x \in T_j$ . Then there exist a covering set  $C$  and an independent set  $I$  of  $V(G)$  such that*

$$\sum_{j=1}^{a-1} (a - j)c_j \leq \sum_{j=1}^{a-1} (a - 1)(a - j)i_j,$$

where  $|C \cap T_j| = c_j$  and  $|I \cap T_j| = i_j$  for every  $1 \leq j \leq a - 1$ .

By the definition of toughness, we can easily show the following result.

**Lemma 2.5.** *Let  $G$  be an incomplete graph with toughness  $t(G) \geq (a - 1) + \frac{a}{b}$ , where  $a, b$  are two positive integers with  $b \geq a \geq 2$ . Then  $\delta(G) > a$ . Moreover, if  $a > 2$ , then  $\delta(G) > a + 1$ .*

*Proof.* Since  $G$  is not complete, then  $\delta(G) \geq 2t(G) \geq 2a - 2 + \frac{2a}{b}$ . The conclusion follows directly. □

### 3 Main Theorems

We consider the inclusion and/or exclusion properties for complete graphs and incomplete graphs, respectively. We start with the case that  $G$  is an incomplete graph.

**Lemma 3.1.** *Let  $G$  be a graph with toughness  $t(G) \geq a - 1 + \frac{a}{b}$ , where  $a, b$  are integers satisfying  $b > a \geq 2$ . Let  $S, T$  be a pair of disjoint subsets of  $V(G)$ . If  $S \neq \emptyset$  and  $T \neq \emptyset$ , then*

$$\sum_{x \in T} (a - \deg_{G-S}(x)) \leq b|S| - 4.$$

*Proof.* Suppose, to the contrary, that there exists a pair of disjoint subsets of  $V(G)$ ,  $S$  and  $T$  with  $|S| > 0, |T| > 0$  satisfying:

$$\sum_{x \in T} (a - \deg_{G-S}(x)) > b|S| - 4.$$

By integrality,

$$\sum_{x \in T} (a - \deg_{G-S}(x)) \geq b|S| - 3. \quad (4)$$

Moreover, suppose that  $S, T$  is a pair of minimal sets with respect to (4). Then by Lemma 2.3, for any vertex  $x \in T$ ,  $\deg_{G-S}(x) < a$  and for any vertex  $x \in S$ ,  $|T| \geq \deg_T(x) > b$  and so  $|T| \geq b + 1$ .

For all  $i$ ,  $0 \leq i \leq a - 1$ , define

$$T_i = \{x \in T : \deg_{G-S}(x) = i\}.$$

Denote  $|T_0| = t_0$  and  $G_0 = G[T - T_0] = G[T_1 \cup \dots \cup T_{a-1}]$ . Clearly,  $\deg_{G_0}(x) \leq i$  for every  $x \in T_i$ . So, by Lemma 2.5, there exist a covering set  $C$  and an independent set  $I$  of  $G_0$  such that

$$\sum_{j=1}^{a-1} (a-1)(a-j)i_j \geq \sum_{j=1}^{a-1} (a-j)c_j, \quad (5)$$

where  $i_j = |I \cap T_j|$  and  $c_j = |C \cap T_j|$  for all  $j$ ,  $1 \leq j \leq a - 1$ . Clearly, We may assume that  $I$  is maximal in  $G_0$ . Moreover, we could assume that  $I \cap C = \emptyset$  and  $I \cup C = V(G_0)$ . Note that  $I \cup C = V(G_0)$  is followed from maximality of  $I$  and definition of covering sets. If  $I \cap C \neq \emptyset$ , set  $C_0 = C - I$ . Clearly, the new set  $C_0$  is still a covering set and  $I \cup C_0 = V(G_0)$ . Now  $|I| = \sum_{j=1}^{a-1} i_j \geq 1$ . According to (4),

$$at_0 + \sum_{j=1}^{a-1} (a-j)i_j + \sum_{j=1}^{a-1} (a-j)c_j \geq b|S| - 3. \quad (6)$$

Let  $Y = S \cup C \cup N_{G-S-T}(I)$ . Then

$$|Y| = |S \cup C \cup N_{G-S-T}(I)| \leq |S| + \sum_{j=1}^{a-1} j \cdot i_j + |C| - e_G(C, I)$$

and  $\omega(G - Y) \geq \sum_{j=1}^{a-1} i_j + t_0$ . From the maximality of  $I$ , it follows that  $|C| \leq e_G(C, I)$  and if the equality in  $|C| \leq e_G(C, I)$  holds, then  $\deg_I(x) = 1$  for all  $x \in C$ . We claim that

$$|Y| \geq t(G) \cdot \omega(G - Y).$$

If  $Y$  is a cut set, we are done. Otherwise,  $1 \leq |I \cup T_0| \leq \omega(G - Y) = 1$  and so  $|I| = 1$ . Therefore, any vertex in  $C$  has at most one neighbor not in  $Y$ , and hence for every vertex  $x \in T$ ,  $|Y| \geq |S| + \deg_{G-S}(x) \geq \deg_G(x) \geq \delta(G) \geq t(G)$ .

Next, we show the following claim.

*Claim.*  $C \neq \emptyset$ .

If  $C = \emptyset$ , then  $|T| = t_0 + |I|$ . Since  $|S| \geq |Y| - \sum_{j=1}^{a-1} j \cdot i_j \geq t(G) \cdot (t_0 + \sum_{j=1}^{a-1} i_j) - \sum_{j=1}^{a-1} j \cdot i_j$  and  $t(G) \geq a - 1 + \frac{a}{b}$ , it follows from (6) that

$$at_0 + \sum_{j=1}^{a-1} (a-j)i_j \geq (ba - b + a)t_0 + \sum_{j=1}^{a-1} (ba - b + a - bj)i_j - 3.$$

Then by  $b > a \geq 2$  we have  $a - 1 \leq (a - 1)(|T| - t_0) \leq \sum_{j=1}^{a-1} (ba - b - bj + j)i_j \leq 3 - (ba - b)t_0 \leq 3 - 3t_0$ . On the other hand, as  $|T| \geq b + 1$  and  $b > a \geq 2$ , we have  $(a - 1)|T| \geq 4$  if  $t_0 = 0$  and  $(a - 1)(|T| - t_0) \geq a - 1 \geq 1 > 3 - 3t_0$  if  $t_0 > 1$ , which is impossible in either case. The claim is proved.

As  $t_0 \geq 0$  and  $b > a \geq 2$ , there are several cases to consider.

*Case 1.*  $t_0 = 0, b = 3$ .

Note that when  $b = 3$  and  $a = 2$ , for every vertex  $x \in C$ , since  $x \in T$ ,  $\deg_{G-S}(x) < a = 2$ , and hence  $\deg_I(x) = 1$ .

We claim that  $G[C]$  is either a singleton or a complete subgraph. Suppose there are two distinct nonadjacent vertices  $x_0, y_0$  in  $C$ . Let  $Y' = Y - \{x_0, y_0\}$ . Since  $\deg_I(x_0) = \deg_I(y_0) = 1$ , we have  $\omega(G - Y') \geq \sum_{j=1}^{a-1} i_j$  and  $|Y'| \leq |S| + \sum_{j=1}^{a-1} j \cdot i_j - 2$ .

We show that  $|Y'| \geq t(G) \cdot \sum_{j=1}^{a-1} i_j$ . If  $\sum_{j=1}^{a-1} i_j > 1$ , as  $\omega(G - Y') \geq \sum_{j=1}^{a-1} i_j > 1$ ,  $Y'$  is a vertex cut. Hence,  $|Y'| \geq t(G) \cdot \omega(G - Y') \geq t(G) \cdot \sum_{j=1}^{a-1} i_j$ . If  $\sum_{j=1}^{a-1} i_j = 1$ , let  $I' = \{x_0, y_0\}$  and  $C' = T - I'$ . Clearly,  $I'$  is independent in  $G_0$  and  $|I'| > |I|$ , contradicting with the maximality of  $I$ .

Thus,  $|S| \geq |Y'| - \sum_{j=1}^{a-1} j \cdot i_j + 2 \geq \sum_{j=1}^{a-1} (t(G) - j) i_j + 2$ . Using (5), (6) and  $t(G) \geq a - 1 + \frac{a}{b}$ , we obtain

$$\sum_{j=1}^{a-1} (a-1)(a-j)i_j \geq \sum_{j=1}^{a-1} (ba-b-bj+j)i_j + 2b-3 > \sum_{j=1}^{a-1} (ba-b-bj+j)i_j,$$

which is impossible, because  $(a-1)(a-j) \leq ba-b-bj+j$  and  $i_j \geq 0$  for all  $j, 1 \leq j \leq a-1$ .

Now  $|C| \leq \deg_{G-S}(x) < a = 2$  for every vertex  $x \in C$ . By Claim,  $C \neq \emptyset$ . Then  $|C| = 1$ . Let  $Y'' = Y - C$ . Clearly,  $|Y''| \leq |S| + \sum_{j=1}^{a-1} j \cdot i_j - 1$ ,  $\omega(G - Y'') \geq \sum_{j=1}^{a-1} i_j$  and  $|Y''| \geq t(G) \cdot \sum_{j=1}^{a-1} i_j$ . It follows from (6) and  $|S| \geq \sum_{j=1}^{a-1} (t(G) - j) i_j + 1$  that

$$\sum_{j=1}^{a-1} (a-j)i_j + (a-1) \geq \sum_{j=1}^{a-1} (ba-b+a-bj)i_j + b-3.$$

Therefore,  $\sum_{j=1}^{a-1} (ba-b-bj+j)i_j \leq a-1 = 1$ . That is,  $|I| = i_1 \leq 1$  and thus  $|T| = |I| + |C| = 2$ , a contradiction.

*Case 2.*  $t_0 = 0, b > 3$  or  $t_0 = 1, b = 3$ .

We may assume that for any vertex  $x \in C$ ,  $\deg_I(x) = 1$ . If there exists a vertex in  $C$  with at least two neighbors in  $I$ , then  $|Y| \leq |S| + \sum_{j=1}^{a-1} j \cdot i_j - 1$ . Thus,  $|S| \geq t(G) \cdot (t_0 + \sum_{j=1}^{a-1} i_j) - \sum_{j=1}^{a-1} j \cdot i_j + 1$ . Using (5) and (6), we have

$$\sum_{j=1}^{a-1} (a-1)(a-j)i_j \geq (ba-b)t_0 + \sum_{j=1}^{a-1} (ba-b-bj+j)i_j + b-3 > \sum_{j=1}^{a-1} (ba-b-bj+j)i_j,$$

a contradiction.

Now, let  $y_0 \in C$  and  $Y' = Y - \{y_0\}$ . Clearly,  $|Y'| \leq |S| + \sum_{j=1}^{a-1} j \cdot i_j - 1$  and  $\omega(G - Y') \geq t_0 + \sum_{j=1}^{a-1} i_j$  as  $\deg_I(y_0) = 1$ . Similarly, it is not difficult to show that  $|Y'| \geq t(G) \cdot (t_0 + \sum_{j=1}^{a-1} i_j)$ . Thus,  $|S| \geq t(G) \cdot (t_0 + \sum_{j=1}^{a-1} i_j) - \sum_{j=1}^{a-1} j \cdot i_j + 1$ .

Using (5) and (6) again, we have  $\sum_{j=1}^{a-1} (a-1)(a-j)i_j > \sum_{j=1}^{a-1} (ba-b-bj+j)i_j$ , a contradiction.

*Case 3.*  $t_0 = 1, b > 3$  or  $t_0 \geq 2$ .

Note that  $|S| \geq |Y| - \sum_{j=1}^{a-1} j \cdot i_j \geq t(G) \cdot (t_0 + \sum_{j=1}^{a-1} i_j) - \sum_{j=1}^{a-1} j \cdot i_j$  and  $t(G) \geq a - 1 + \frac{a}{b}$ . Therefore, according to (5) and (6),

$$\sum_{j=1}^{a-1} (a-1)(a-j)i_j \geq (ba-b)t_0 + \sum_{j=1}^{a-1} (ba-b-bj+j)i_j - 3.$$

If  $t_0 \geq 2$  (resp.  $t_0 = 1, b > 3$ ), as  $b > a \geq 2$ , then  $(ba-b)t_0 \geq 2(ba-b) \geq 2b \geq 6$  (resp.  $(ba-b)t_0 = ba-b \geq b > 3$ ), and thus  $\sum_{j=1}^{a-1} (a-1)(a-j)i_j > \sum_{j=1}^{a-1} (ba-b-bj+j)i_j$ , a contradiction.

The proof is complete. □

Now we are ready to prove the main theorem.

**Theorem 3.2.** Let  $a, b$  be two integers with  $b > a > 1$  and  $e_1 = u_1u_2, e_2 = v_1v_2$  be two distinct edges of an incomplete graph  $G$ . If  $t(G) \geq (a-1) + \frac{a}{b}$ , then  $G$  contains an  $[a, b]$ -factor including  $e_1$  and  $e_2$ ; and an  $[a, b]$ -factor including  $e_1$  and excluding  $e_2$ ; as well as an  $[a, b]$ -factor excluding  $e_1$  and  $e_2$  unless  $e_1$  and  $e_2$  have a common end in the case of  $a = 2$ .

*Proof.* Let  $E_1, E_2$  be two edge sets (one of  $E_1$  and  $E_2$  is allowed to be empty) with  $E_1 \cup E_2 = \{e_1, e_2\}$ . The theorem holds if and only if  $G$  contains an  $[a, b]$ -factor  $F$  such that  $E_1 \subseteq E(F)$  and  $E_2 \cap E(F) = \emptyset$ . Suppose, to the contrary, that  $G$  does not contain such an  $[a, b]$ -factor  $F$ . Then, by Lemma 2.2, there exists a pair of disjoint subsets  $S, T$  of  $V(G)$  such that

$$\sum_{x \in T} (a - \deg_{G-S}(x)) > b|S| - \alpha(S, T; E_1, E_2) - \beta(S, T; E_1, E_2), \quad (7)$$

where  $U = V(G) - S - T$ ,  $\alpha(S, T; E_1, E_2) = 2|E_1 \cap E_G(S)| + |E_1 \cap E_G(S, U)|$  and  $\beta(S, T; E_1, E_2) = 2|E_2 \cap E_G(T)| + |E_2 \cap E_G(T, U)|$ .

On the other hand, as  $t(G) \geq (a-1) + \frac{a}{b}$ , by Theorem 1.1,  $G$  contains an  $[a, b]$ -factor. It follows from Theorem 2.1 that

$$q_G(S, T) + \sum_{x \in T} (a - \deg_{G-S}(x)) \leq b|S|. \quad (8)$$

By assumption  $b > a$ , we have  $q_G(S, T) = 0$ .

*Claim.*  $S \neq \emptyset$  and  $T \neq \emptyset$ .

Clearly,  $S \cup T \neq \emptyset$ . Otherwise,  $\alpha(S, T; E_1, E_2) = 0, \beta(S, T; E_1, E_2) = 0$ , and then (7) yields  $\sum_{x \in T} (a - \deg_{G-S}(x)) > b|S|$ , a contradiction to (8).

*Case 1.*  $S = \emptyset$  and  $T \neq \emptyset$ . Then  $\alpha(S, T; E_1, E_2) = 0$ . It follows from (7) and (8) that  $\beta(S, T; E_1, E_2) \neq 0$ . Then  $E_2 \neq \emptyset$ , and hence either  $E_2 = \{e_2\}$  or  $E_2 = \{e_1, e_2\}$ .

If  $E_2 = \{e_2\}$ , according to (7), there exist two subsets  $S = \emptyset, T \neq \emptyset$  of  $V(G)$  such that  $\sum_{x \in T} (a - \deg_{G-S}(x)) > b|S| - \alpha(S, T; E'_1, E'_2) - \beta(S, T; E'_1, E'_2)$  for  $E'_1 = \emptyset$  and  $E'_2 = \{e_2\}$ . From Lemma 2.2,  $G$  contains no  $[a, b]$ -factors excluding  $e_2$ , a contradiction to Theorem 1.2.

Next assume  $E_2 = \{e_1, e_2\}$ , which is the case of excluding  $e_1$  and  $e_2$ . Then (7) becomes

$$\sum_{x \in T} (a - \deg_G(x)) > -2|E_2 \cap E_G(T)| - |E_2 \cap E_G(U, T)|.$$

If  $a = 2$ , then  $\delta(G) > a$  by Lemma 2.5. So, for any vertex  $x \in T$ ,  $\deg_G(x) \geq a + 1$ . Hence  $-|T| \geq \sum_{x \in T} (a - \deg_G(x)) > -2|E_2 \cap E_G(T)| - |E_2 \cap E_G(U, T)|$ . According to the relationship between  $E_2$  and  $E_G(T)$  or between  $E_2$  and  $E_G(U, T)$ , there are several cases to discuss. If  $E_2 \subseteq E_G(T)$ , then  $|T| \geq 4$  because  $e_1, e_2$  share no common ends when  $a = 2$  by assumption. But  $-|T| > -2|E_2 \cap E_G(T)| - |E_2 \cap E_G(U, T)| = -4$ , a contradiction. For other cases, we can deduce contradictions in similar ways.

If  $a \geq 3$ , then  $\delta(G) > a + 1$  by Lemma 2.5. Thus  $\deg_G(x) \geq a + 2$  for all  $x \in T$ . Therefore,  $-2|T| \geq \sum_{x \in T} (a - \deg_G(x)) > -2|E_2 \cap E_G(T)| - |E_2 \cap E_G(U, T)|$ , which is impossible.

*Case 2.*  $S \neq \emptyset$  and  $T = \emptyset$ . Then  $\beta(S, T; E_1, E_2) = 2|E_2 \cap E_G(T)| + |E_2 \cap E_G(T, U)| = 0$ . It follows from (7) and (8) again that  $\alpha(S, T; E_1, E_2) \neq 0$ . Thus,  $|E_1| \geq 1$ , and then  $E_1 = \{e_1\}$  or  $E_1 = \{e_1, e_2\}$ .

If  $E_1 = \{e_1\}$ , from (7), we have  $b|S| < \alpha(S, T; E_1, E_2) = 2|E_1 \cap E_G(S)| + |E_1 \cap E_G(S, U)| \leq 2$ , which is impossible since  $b > a > 1$ .

If  $E_1 = \{e_1, e_2\}$ , then  $b|S| - 2|E_1 \cap E_G(S)| - |E_1 \cap E_G(S, U)| < \sum_{x \in T} (a - \deg_{G-S}(x)) = 0$ . As  $b \geq 3$ , it follows that  $3|S| < 2|E_1 \cap E_G(S)| + |E_1 \cap E_G(S, U)|$ . Note that  $E_1 = \{e_1, e_2\}$ . There are several cases to discuss based on the relationship between  $E_1$  and  $E_G(S)$  or between  $E_1$  and  $E_G(S, U)$ . We only consider the case of  $E_1 \subseteq E_G(S)$ , i.e.,  $e_1 \in E_G(S)$  and  $e_2 \in E_G(S)$ . For other cases, the proofs go along the same

line. If  $e_1 \in E_G(S)$  and  $e_2 \in E_G(S)$ , then  $|S| \geq 3$  and  $3|S| \geq 9 > 4 = 2|E_1 \cap E_G(S)| + |E_1 \cap E_G(S, U)|$ , a contradiction.

This completes the proof of the Claim.

Now as  $S \neq \emptyset$  and  $T \neq \emptyset$ , it follows from Lemma 3.1 that

$$\sum_{x \in T} (a - \deg_{G-S}(x)) \leq b|S| - 4.$$

But  $\alpha(S, T; E_1, E_2) + \beta(S, T; E_1, E_2) \leq 4$  and (7) reads  $\sum_{x \in T} (a - \deg_{G-S}(x)) > b|S| - 4$ , a contradiction.  $\square$

We call a graph  $G$  an  $[a, b]$ -graph if  $a \leq \deg_G(v) \leq b$  for all  $v \in V(G)$ . For almost regular graphs, Thomassen [10] proved the existence of all “almost regular factors”.

**Lemma 3.3** (Thomassen [10]). *If  $G$  is an  $[r, r + 1]$ -graph, then  $G$  has a  $[k, k + 1]$ -factor for all  $k, 0 \leq k \leq r$ .*

Applying the above result, we obtain an inclusion/exclusion theorem for complete graphs.

**Theorem 3.4.** *Let  $a, b$  be two integers with  $b > a > 1$  and  $e_1 = u_1v_1, e_2 = u_2v_2$  be two distinct edges of a complete graph  $G$ .*

- (a) *If  $t(G) \geq (a - 1) + \frac{a}{b}$ , then  $G$  contains an  $[a, b]$ -factor including  $e_1$  and  $e_2$ ;*
- (b) *if  $t(G) \geq a + \frac{a}{b}$ , it contains an  $[a, b]$ -factor including  $e_1$  and excluding  $e_2$ ; as well as an  $[a, b]$ -factor excluding  $e_1$  and  $e_2$  if  $V(e_1) \cap V(e_2) = \emptyset$ .*

*Proof.* Clearly, there exists a Hamiltonian cycle  $C_G$ , in  $G$ , which includes  $e_1$  and  $e_2$ . Moreover,  $G_1 = G - E(C_G)$  is a  $(\delta(G) - 2)$ -regular graph. If  $t(G) \geq a - 1 + \frac{a}{b}$ , then  $\delta(G) = t(G) \geq a$ . As  $0 \leq a - 2 \leq \delta(G) - 2$ , by Lemma 3.3,  $G_1$  contains an  $[a - 2, a - 1]$ -factor  $F_1$ . Then  $C_G \cup F_1$  is a desired  $[a, b]$ -factor of  $G$ , including  $e_1$  and  $e_2$ .

Since  $G$  is complete,  $G - e_2$  contains a Hamiltonian cycle  $C'_G$  including  $e_1$  when  $|V(G)| \geq 5$ . Now  $G_2 = G - e_2 - E(C'_G)$  is a  $[\delta(G) - 3, \delta(G) - 2]$ -graph. As  $t(G) \geq a + \frac{a}{b}, 0 \leq a - 2 \leq \delta(G) - 3$  and  $G_2$  has an  $[a - 2, a - 1]$ -factor  $F_2$  by Lemma 3.3. Therefore,  $C'_G \cup F_2$  is an  $[a, a + 1]$ -factor, also an  $[a, b]$ -factor of  $G$  including  $e_1$  and excluding  $e_2$ . If  $|V(G)| = 4$ , it is not difficult to show that  $G$  contains a  $[2, 3]$ -factor including one edge and excluding another.

It remains to show that  $G$  contains an  $[a, b]$ -factor excluding  $e_1$  and  $e_2$ . Since  $V(e_1) \cap V(e_2) = \emptyset, G_3 = G - \{e_1, e_2\}$  is a  $[\delta(G) - 1, \delta(G)]$ -graph. As  $\delta(G) \geq a + 1, G_3$  has an  $[a, a + 1]$ -factor  $F_3$  by Lemma 3.3. Trivially,  $F_3$  is the desired  $[a, b]$ -factor.  $\square$

## 4 Remarks

The conditions in Theorems 3.2 and 3.4 are necessary for the conclusions.

**Remark 4.1.** The condition  $a > 1$  is necessary. If  $a = 1$ , there exist many graphs with toughness  $t(G) \geq \frac{1}{b}$  but containing no  $[1, b]$ -factors including/excluding one edge of  $G$ . Such examples can be found in [3].

**Remark 4.2.** When discussing the exclusion of  $e_1$  and  $e_2$ , the condition  $V(e_1) \cap V(e_2) = \emptyset$  is necessary, when  $G$  is complete or  $a = 2$ . Let  $G = K_{a+2}$  and  $e_1, e_2$  are two edges with a common end. It is easy to see that  $G$  contains no  $[a, b]$ -factor excluding  $e_1, e_2$ . For the case of  $a = 2$ , see Figure 1.

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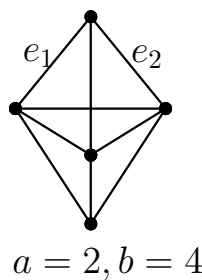


Figure 1: A graph with toughness  $\frac{3}{2}$  contains no  $[2, 4]$ -factor excluding  $e_1, e_2$

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